

## 1.1 Non CECAS Submission for Indicator 11

### Assumptions for Non CECAS 3<sup>rd</sup> party submissions:

- All children submitted will include children with a 'Referral Received by School Date' where the Referral was received within the LEA whether the children are currently within the LEA or not.
- The 'Referral Received by School Date' falls between the Count Period Begin and End Dates.
- The child's age is not considered for Indicator 11 submission
- Omission of data elements from the submission will be considered as if that part of the process did not occur.
- The following rules apply:
  - Exclude children for whom it is determined by the IEP Team on the Referral (DEC 1) that no evaluation will be conducted and determination is  $\leq 90$  days from Referral Received by School Date.
  - Exclude children for whom the parent response to Consent to Evaluate (DEC 2) is 'No' and the signature date is  $\leq 90$  days from Referral Received by School Date.
  - All children submitted should have a Delay Reason if they fall into the following criteria:
    - Eligible and placed after the 90 day timeline
    - Determined not eligible after the 90 day timeline
    - Have not completed the placement process and the Referral Received by School Date is  $> 90$  days prior to the end of the count period.

## 1.2 Validations for Indicator 11 Data Elements

Listed below is the list of elements required for the Indicator 11 periodic Count submission. The data validations for each element will be run through the Tech Manual Data Error process to capture invalid data before the file is certified for both the CECAS users and the 3<sup>rd</sup> party users.

### Child ID

- May be repeated.
- Cannot be Blank – should be NCWISE ID if the child has been entered into NC WISE. If the child is not in NC WISE, use LOCAL ID.
- If the user submits more than one Child ID tag, either with differing Type attributes or with the same Type attribute, only the first ID listed will be captured. All other Child IDs will be ignored.
- The application will check to see that the Child ID field contains data. If the field is blank, then an error is raised indicating that the ID field is blank
- Must include 'Type' attribute. Allowable values are 'NCWISE' or 'LOCAL'. Any other Type values will be flagged as erroneous.

### First Name

- Cannot be blank.
- Can have only the following characters: (Aa-Zz , . - #).

#### Last Name

- Cannot be blank.
- Can have only the following characters: (Aa-Zz , . - #).

#### Middle Name

- Can be blank.
- Can have only the following characters: (Aa-Zz , . - #).

#### Date Of Birth

- Cannot be blank.
- Must be a date value.
- Must be before the Count Period End Date.

#### Gender

- Cannot be blank.
- Must be a value of "M" or "F".

#### IsHispanic

- Cannot be blank.
- Must be a value of "Y" or "N".

#### Races

- Cannot be blank.
- Minimum of one, but no more than five, allowed.
- Values are:
  - "AM7" for American Indian or Alaskan Native
  - "AS7" for Asian
  - "BL7" for Black or African American
  - "PI7" for Native Hawaiian or Other Pacific Islander
  - "WH7" for White

#### LEA Code

- Cannot be blank.
- Must be the same as the LEA Code submitted on the Request screen.
- Must exist in the School Code Master.

#### School Code

- Cannot be blank.
- Must include the LEA CODE – six digits
- Must belong to a school in the LEA submitted on the Request screen.
- Must exist in the School Code Master.

#### Student Placement Type

- Can be blank.
- Is required if School Code = Private

Student Placement Type Lookup Codes		
Code	Description	InactiveDate
<a href="#">ALPS</a>	Alternative Learning Program Student - Public Placement	
<a href="#">DCS</a>	Detention Center Student - Public Placement	
<a href="#">ODPF</a>	Out of District Public Student - Public Placement	
<a href="#">PRES</a>	Preschool Student - Public Placement	
<a href="#">PRESS</a>	Private Elementary Or Secondary School Student - Private Placement	
<a href="#">PRISF</a>	Private School/Facility Student - Public Placement	
<a href="#">RHSS</a>	Registered Home School Student - Private Placement	
<a href="#">NYES</a>	Student Not Yet Enrolled In School - Public Placement	
<a href="#">VTCS</a>	Vocational Training Center Student - Public Placement	

#### Referral Received by School Date (See DEC1)

- Cannot be blank.
- Must be a date value.
- Must be on or after the Date of Birth.
- Must fall between the Periodic Count Begin ( $\geq$ ) and End dates ( $\leq$ )

#### Indicator 11 Subtraction Category (These validations only apply to 3rd party submissions.)

- Should be blank unless one of the following codes applies.
- Valid codes are:
  - Transferred Out Prior to 90 Day Timeline Ends (code **TOPTE**)
  - Child Not Produced for Evaluation (code **CNP**)

#### Referral Determination Date (IEP Team signature date on DEC1)

- Can be blank if referral determination has not been made.
- If provided:
  - Must be a date value.
  - Must be  $\geq$  Referral Receive by School Date

#### Referred for Evaluation

- Cannot be blank if Referral Determination Date is provided.
- If provided, valid values are "Y" or "N"

- If referral determination is "Determine eligibility based on existing data" use the value of "Y".
- If value = "N", then other fields below must be blank except Delay Reason if determination is greater than 90 days from Referral Received by School date.

#### Consent to Evaluate Date

- Can be blank
- If provided:
  - Must be a date value.
  - Must be  $\geq$  Referral Receive by School Date
  - Must include 'Consent to Evaluate Purpose and Consent to Evaluate Given'.

#### Consent to Evaluate Purpose

- Can be blank
- Is required if 'Consent to Evaluate Date' and/or 'Consent to Evaluate Given' is provided.
- If provided, the value must be "INIT"

#### Consent to Evaluate Given

- Can be blank.
- Is required if 'Consent to Evaluate Date' and/or 'Consent to Evaluate Purpose' is provided
- If provided, valid values are "Y" or "N"
- If value = "N", then other fields below must be blank except Delay Reason if determination is greater than 90 days from Referral Received by School date.
- If value = "N", then 'Referral for Evaluation' must be "Y"

#### Evaluation Purpose

- Can be blank.
- Is required if 'Eligibility Date' and/or 'Eligible' is provided
- If provided, the value must be "INIT"

#### Eligibility Date

- Can be blank.
- Is required if 'Initial Placement Date' is provided
- Is required if 'Eligibility Purpose' and 'Eligible' is provided
- If provided:
  - Must be a date value.
  - Must be  $\geq$  Referral Received by School Date
  - Must be  $\leq$  Initial Placement Date

#### Eligible

- Can be blank.
- Is required if 'Eligibility Date' is provided

- If provided, values are "Y" or "N"
- If value = "N", then 'Initial Placement Date' must be blank
- If value = "N", then 'Referred for Evaluation' must be "Y".
- If value = "N", then other fields below must be blank except Delay Reason if Eligibility Determination Date is greater than 90 days from Referral Received by School date.
- If value = "Y", then Disability is required.

#### Disability

- Can be blank.
- Is required if 'Eligible' is "Y"
- Must exist in the Exceptionality lookup codes
- If Eligible = "N", then 'Disability' must be blank

### Exceptionality Lookup Codes

Description	Code	Eligibility	Inactive Date
<a href="#">Autism</a>	AU	Special Ed	
<a href="#">Deaf-Blindness</a>	DB	Special Ed	
<a href="#">Deafness</a>	DF	Special Ed	
<a href="#">Developmental Delay</a>	DD	Special Ed	
<a href="#">Hearing Impairment</a>	HI	Special Ed	
<a href="#">Intellectual Disability - Mild</a>	IDMI	Special Ed	
<a href="#">Intellectual Disability - Moderate</a>	IDMO	Special Ed	
<a href="#">Intellectual Disability - Severe</a>	IDSE	Special Ed	
<a href="#">Multiple Disabilities</a>	MU	Special Ed	
<a href="#">Orthopedic Impairment</a>	OI	Special Ed	
<a href="#">Other Health Impairment</a>	OH	Special Ed	
<a href="#">Serious Emotional Disability</a>	ED	Special Ed	
<a href="#">Specific Learning Disability</a>	LD	Special Ed	
<a href="#">Speech or Language Impairment</a>	SI	Special Ed	
<a href="#">Traumatic Brain Injury</a>	TB	Special Ed	
<a href="#">Visual Impairment incl. Blindness</a>	VI	Special Ed	

#### Pvt School Non-participant Notice Date

- Use the Private School Non-Participant Notice Date for a private school student with a disability who does not receive the service provided by the LEA to eligible private school students. The date comes from the DEC5.
- Can be blank.
- If provided:
  - Must be a date value.
  - Must be >= Referral Receive by School Date

#### Consent for Placement Date

- Can be blank.
- Is required if 'Consent For Placement Given' is provided
- If provided:
  - Must be a date value.

- Must be  $\geq$  Referral Receive by School Date
- Must be  $\geq$  Eligibility Date

#### Consent for Placement Given

- Can be blank.
- Is required if 'Consent For Placement Date' is provided
- If provided, values are "Y" or "N"

#### Initial Placement Date (Date of DEC5 that notifies parent of the placement decision.)

- Can be blank.
- If provided:
  - Must be a date value.
  - Must be  $\geq$  Referral Receive by School Date
  - Must be  $\geq$  Eligibility Date

#### Initial Placement Delay Reason

- Can be blank.
- Must exist in the Initial Placement Delay Reason lookup codes
- Is required if **one** of the options below is met:
  - Evaluation purpose = "INIT" and Eligibility Determination Date is  $> 90$  days from 'Referral Received by School Date'.
  - 'Initial Placement Date' is  $> 90$  days from 'Referral Received by School Date'.
  - Consent to Evaluate Date is  $> 90$  days from 'Referral Received by School Date'.
  - Referral Determination Date is  $> 90$  days from 'Referral Received by School Date'.
  - If Referral Determination Date, Consent to Evaluate Date, Eligibility Determination Date and Initial Placement Date is NULL, and Referral Received by School Date is  $> 90$  days
  - If Referral Determination Date, Consent to Evaluate Date, Eligibility Determination Date are  $\leq 90$  days from Referral Received by School date, and Initial Placement Date is NULL, and Referral Received by School Date is  $> 90$  days prior to the Count Period End Date, and the Consent for Placement (*Parent Response to Consent for Placement is not 'No'*) with a signature date  $\leq 90$  Days Timeline Ends date. (*This means the process is incomplete due to Initial Placement not occurring by the time of the count.*)
  - If Referral Determination Date, Consent to Evaluate Date, Eligibility Determination Date are  $\leq 90$  days from Referral Received by School date, and Initial Placement Date is NULL, and Referral Received by School Date is  $> 90$  days prior to the Count Period End Date, and the Consent for Placement (*Parent Response to Consent for Placement is 'No'*) with a signature date  $> 90$  Days Timeline Ends date. (*This means the process is complete due to the parent response for consent to placement being 'No'*)

*at the time of the count. However; a delay reason is required because the signature date for the consent for placement is after the 90 Day timeline Ends date.)*

Initial Delay Reason Lookup Codes		
Code	Description	InactiveDate
<a href="#">IN04</a>	Delay in getting parent consent	
<a href="#">IN01</a>	Excessive student absences	
<a href="#">IN05</a>	Other	
<a href="#">IN02</a>	Referral paperwork not processed in a timely manner	
<a href="#">IN03</a>	Weather related delays	

### 1.3 Sample XML File

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    <MiddleName></MiddleName>
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  <InitialPlacementDelayReason></InitialPlacementDelayReason>
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</Child>
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