

1.1 Non CECAS Submission for Indicator 11

Assumptions for Non CECAS 3rd party submissions:

- All children submitted will include children with a 'Referral Received by School Date' where the Referral was received within the LEA whether the children are currently within the LEA or not.
- The 'Referral Received by School Date' falls between the Count Period Begin and End Dates.
- The child's age is not considered for Indicator 11 submission
- Omission of data elements from the submission will be considered as if that part of the process did not occur.
- The following rules apply:
 - Exclude children for whom it is determined by the IEP Team on the Referral (DEC 1) that no evaluation will be conducted and determination is ≤ 90 days from Referral Received by School Date.
 - Exclude children for whom the parent response to Consent to Evaluate (DEC 2) is 'No' and the signature date is ≤ 90 days from Referral Received by School Date.
 - All children submitted should have a Delay Reason if they fall into the following criteria:
 - Eligible and placed after the 90 day timeline
 - Determined not eligible after the 90 day timeline
 - Have not completed the placement process and the Referral Received by School Date is > 90 days prior to the end of the count period.

1.2 Validations for Indicator 11 Data Elements

Listed below is the list of elements required for the Indicator 11 periodic Count submission. The data validations for each element will be run through the Tech Manual Data Error process to capture invalid data before the file is certified for both the CECAS users and the 3rd party users.

Child ID

- May be repeated.
- Cannot be Blank – should be NCWISE ID if the child has been entered into NC WISE. If the child is not in NC WISE, use LOCAL ID.
- If the user submits more than one Child ID tag, either with differing Type attributes or with the same Type attribute, only the first ID listed will be captured. All other Child IDs will be ignored.
- The application will check to see that the Child ID field contains data. If the field is blank, then an error is raised indicating that the ID field is blank
- Must include 'Type' attribute. Allowable values are 'NCWISE' or 'LOCAL'. Any other Type values will be flagged as erroneous.

First Name

- Cannot be blank.
- Can have only the following characters: (Aa-Zz , . - #).

Last Name

- Cannot be blank.
- Can have only the following characters: (Aa-Zz , . - #).

Middle Name

- Can be blank.
- Can have only the following characters: (Aa-Zz , . - #).

Date Of Birth

- Cannot be blank.
- Must be a date value.
- Must be before the Count Period End Date.

Gender

- Cannot be blank.
- Must be a value of "M" or "F".

IsHispanic

- Cannot be blank.
- Must be a value of "Y" or "N".

Races

- Cannot be blank.
- Minimum of one, but no more than five, allowed.
- Values are:
 - "AM7" for American Indian or Alaskan Native
 - "AS7" for Asian
 - "BL7" for Black or African American
 - "PI7" for Native Hawaiian or Other Pacific Islander
 - "WH7" for White

LEA Code

- Cannot be blank.
- Must be the same as the LEA Code submitted on the Request screen.
- Must exist in the School Code Master.

School Code

- Cannot be blank.
- Must include the LEA CODE – six digits
- Must belong to a school in the LEA submitted on the Request screen.
- Must exist in the School Code Master.

Student Placement Type

- Can be blank.
- Is required if School Code = Private

Student Placement Type Lookup Codes		
Code	Description	InactiveDate
ALPS	Alternative Learning Program Student - Public Placement	
DCS	Detention Center Student - Public Placement	
ODPF	Out of District Public Student - Public Placement	
PRES	Preschool Student - Public Placement	
PRESS	Private Elementary Or Secondary School Student - Private Placement	
PRISF	Private School/Facility Student - Public Placement	
RHSS	Registered Home School Student - Private Placement	
NYES	Student Not Yet Enrolled In School - Public Placement	
VTCS	Vocational Training Center Student - Public Placement	

Referral Received by School Date (See DEC1)

- Cannot be blank.
- Must be a date value.
- Must be on or after the Date of Birth.
- Must fall between the Periodic Count Begin (\geq) and End dates (\leq)

Indicator 11 Subtraction Category (These validations only apply to 3rd party submissions.)

- Should be blank unless one of the following codes applies.
- Valid codes are:
 - Transferred Out Prior to 90 Day Timeline Ends (code **TOPTE**)
 - Child Not Produced for Evaluation (code **CNP**)

Referral Determination Date (IEP Team signature date on DEC1)

- Can be blank if referral determination has not been made.
- If provided:
 - Must be a date value.
 - Must be \geq Referral Receive by School Date

Referred for Evaluation

- Cannot be blank if Referral Determination Date is provided.
- If provided, valid values are "Y" or "N"

- If referral determination is "Determine eligibility based on existing data" use the value of "Y".
- If value = "N", then other fields below must be blank except Delay Reason if determination is greater than 90 days from Referral Received by School date.

Consent to Evaluate Date

- Can be blank
- If provided:
 - Must be a date value.
 - Must be \geq Referral Receive by School Date
 - Must include 'Consent to Evaluate Purpose and Consent to Evaluate Given'.

Consent to Evaluate Purpose

- Can be blank
- Is required if 'Consent to Evaluate Date' and/or 'Consent to Evaluate Given' is provided.
- If provided, the value must be "INIT"

Consent to Evaluate Given

- Can be blank.
- Is required if 'Consent to Evaluate Date' and/or 'Consent to Evaluate Purpose' is provided
- If provided, valid values are "Y" or "N"
- If value = "N", then other fields below must be blank except Delay Reason if determination is greater than 90 days from Referral Received by School date.
- If value = "N", then 'Referral for Evaluation' must be "Y"

Evaluation Purpose

- Can be blank.
- Is required if 'Eligibility Date' and/or 'Eligible' is provided
- If provided, the value must be "INIT"

Eligibility Date

- Can be blank.
- Is required if 'Initial Placement Date' is provided
- Is required if 'Eligibility Purpose' and 'Eligible' is provided
- If provided:
 - Must be a date value.
 - Must be \geq Referral Received by School Date
 - Must be \leq Initial Placement Date

Eligible

- Can be blank.
- Is required if 'Eligibility Date' is provided

- If provided, values are "Y" or "N"
- If value = "N", then 'Initial Placement Date' must be blank
- If value = "N", then 'Referred for Evaluation' must be "Y".
- If value = "N", then other fields below must be blank except Delay Reason if Eligibility Determination Date is greater than 90 days from Referral Received by School date.
- If value = "Y", then Disability is required.

Disability

- Can be blank.
- Is required if 'Eligible' is "Y"
- Must exist in the Exceptionality lookup codes
- If Eligible = "N", then 'Disability' must be blank

Exceptionality Lookup Codes

Description	Code	Eligibility	Inactive Date
Autism	AU	Special Ed	
Deaf-Blindness	DB	Special Ed	
Deafness	DF	Special Ed	
Developmental Delay	DD	Special Ed	
Hearing Impairment	HI	Special Ed	
Intellectual Disability - Mild	IDMI	Special Ed	
Intellectual Disability - Moderate	IDMO	Special Ed	
Intellectual Disability - Severe	IDSE	Special Ed	
Multiple Disabilities	MU	Special Ed	
Orthopedic Impairment	OI	Special Ed	
Other Health Impairment	OH	Special Ed	
Serious Emotional Disability	ED	Special Ed	
Specific Learning Disability	LD	Special Ed	
Speech or Language Impairment	SI	Special Ed	
Traumatic Brain Injury	TB	Special Ed	
Visual Impairment incl. Blindness	VI	Special Ed	

Pvt School Non-participant Notice Date

- Use the Private School Non-Participant Notice Date for a private school student with a disability who does not receive the service provided by the LEA to eligible private school students. The date comes from the DEC5.
- Can be blank.
- If provided:
 - Must be a date value.
 - Must be >= Referral Receive by School Date

Consent for Placement Date

- Can be blank.
- Is required if 'Consent For Placement Given' is provided
- If provided:
 - Must be a date value.

- Must be \geq Referral Receive by School Date
- Must be \geq Eligibility Date

Consent for Placement Given

- Can be blank.
- Is required if 'Consent For Placement Date' is provided
- If provided, values are "Y" or "N"

Initial Placement Date (Date of DEC5 that notifies parent of the placement decision.)

- Can be blank.
- If provided:
 - Must be a date value.
 - Must be \geq Referral Receive by School Date
 - Must be \geq Eligibility Date

Initial Placement Delay Reason

- Can be blank.
- Must exist in the Initial Placement Delay Reason lookup codes
- Is required if **one** of the options below is met:
 - Evaluation purpose = "INIT" and Eligibility Determination Date is > 90 days from 'Referral Received by School Date'.
 - 'Initial Placement Date' is > 90 days from 'Referral Received by School Date'.
 - Consent to Evaluate Date is > 90 days from 'Referral Received by School Date'.
 - Referral Determination Date is > 90 days from 'Referral Received by School Date'.
 - If Referral Determination Date, Consent to Evaluate Date, Eligibility Determination Date and Initial Placement Date is NULL, and Referral Received by School Date is > 90 days
 - If Referral Determination Date, Consent to Evaluate Date, Eligibility Determination Date are ≤ 90 days from Referral Received by School date, and Initial Placement Date is NULL, and Referral Received by School Date is > 90 days prior to the Count Period End Date, and the Consent for Placement (*Parent Response to Consent for Placement is not 'No'*) with a signature date ≤ 90 Days Timeline Ends date. (*This means the process is incomplete due to Initial Placement not occurring by the time of the count.*)
 - If Referral Determination Date, Consent to Evaluate Date, Eligibility Determination Date are ≤ 90 days from Referral Received by School date, and Initial Placement Date is NULL, and Referral Received by School Date is > 90 days prior to the Count Period End Date, and the Consent for Placement (*Parent Response to Consent for Placement is 'No'*) with a signature date > 90 Days Timeline Ends date. (*This means the process is complete due to the parent response for consent to placement being 'No'*)

at the time of the count. However; a delay reason is required because the signature date for the consent for placement is after the 90 Day timeline Ends date.)

Initial Delay Reason Lookup Codes		
Code	Description	InactiveDate
IN04	Delay in getting parent consent	
IN01	Excessive student absences	
IN05	Other	
IN02	Referral paperwork not processed in a timely manner	
IN03	Weather related delays	

1.3 Sample XML File

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